

YoungDiagram

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Chapter 1

Genes and Chromosomes

1.1 Gene types and genes

Definition 1 (Gene type). A *gene type* is one of three polarization types: `NonPolarized`, `Positive`, or `Negative`. There is a natural involution $\varepsilon \mapsto -\varepsilon$ that swaps `Positive` and `Negative` and fixes `NonPolarized`.

Definition 2 (Gene). A *gene* $g = (n, \varepsilon)$ consists of a *rank* $n \in \mathbb{N}$ and a *type* $\varepsilon \in \text{GeneType}$.

Definition 3 (Gene signature). The *signature* of a gene $g = (n, \varepsilon)$ is a pair $(a, b) \in \mathbb{Q} \times \mathbb{Q}$ defined by:

- If $\varepsilon = \text{NonPolarized}$: $(a, b) = (n/2, n/2)$.
- If $\varepsilon = \text{Positive}$: $(a, b) = (\lceil n/2 \rceil, \lfloor n/2 \rfloor)$.
- If $\varepsilon = \text{Negative}$: $(a, b) = (\lfloor n/2 \rfloor, \lceil n/2 \rceil)$.

Lemma 4 (Signature sum equals rank). *For any gene g , we have $a(g) + b(g) = \text{rank}(g)$.*

1.2 Chromosomes

Definition 5 (Chromosome). A *chromosome* is a finitely supported function $X: \text{Gene} \rightarrow \mathbb{N}$, i.e. a formal sum of genes with non-negative integer multiplicities. We write $\text{Chromosome} = \text{Gene} \rightarrow_0 \mathbb{N}$.

Definition 6 (Chromosome signature). The *signature* of a chromosome X is the additive extension: $\text{sig}(X) = \sum_g X(g) \cdot \text{sig}(g) \in \mathbb{Q} \times \mathbb{Q}$.

Definition 7 (Rank). The *rank* of a chromosome X is $\text{rank}(X) = \sum_g X(g) \cdot \text{rank}(g)$.

Lemma 8 (Signature sum equals rank for chromosomes). *For any chromosome X , the sum of the two signature components equals the rank: $\text{sig}(X).1 + \text{sig}(X).2 = \text{rank}(X)$.*

Definition 9 (Dominance order). For chromosomes X and Y , we say X *dominates* Y (written $Y \leq X$) if $\text{sig}(X^{(k)}) \leq \text{sig}(Y^{(k)})$ componentwise for all $k \geq 0$, where $X^{(k)}$ denotes the k -th derivative.

1.3 Prime and lift operations

Definition 10 (Prime (derivative)). The *prime* (derivative) operation $X \mapsto X'$ is the additive homomorphism that maps a gene (n, ε) with $n \geq 1$ to $(n - 1, -\varepsilon)$, and sends genes of rank 0 to 0.

Definition 11 (Lift (antiderivative)). The *lift* operation is an additive homomorphism mapping a gene (n, ε) to $(n + 1, -\varepsilon)$.

Lemma 12 (Prime-lift left inverse). *The prime operation is a left inverse to lift: $(\text{lift } X)' = X$ for all X .*

Lemma 13 (Max rank decreases under prime). *If $X \neq 0$, then $\text{maxRank}(X') < \text{maxRank}(X)$.*

1.4 Decompositions

Definition 14 (Below and above). For $k \in \mathbb{N}$, $X_{\leq k}$ (below) filters genes with rank $\leq k$, and $X_{> k}$ (above) filters genes with rank $> k$. We have $\bar{X} = X_{\leq k} + X_{> k}$.

Definition 15 (Parity decomposition). Every chromosome decomposes as $X = X_{\text{odd}} + X_{\text{even}}$ where X_{odd} (resp. X_{even}) collects genes of odd (resp. even) rank.

Lemma 16 (Parity and prime interchange). $(X')_{\text{even}} = (X_{\text{odd}})'$ and $(X')_{\text{odd}} = (X_{\text{even}})'$.

Chapter 2

Varieties

2.1 Filtered varieties

Definition 17 (Variety). A *variety* is an additive submonoid of **Chromosome**.

Definition 18 (Filtered variety). Given a predicate p on genes, the *filtered variety* V_p consists of all chromosomes whose support satisfies p .

Lemma 19 (Filtered varieties are prime-stable). *If p is lift-stable (i.e. $p(g)$ iff $p(\text{lift}(g))$), then the prime of a filtered variety is itself: $V_p' = V_p$.*

2.2 The polarized variety Pi

Definition 20 (Polarized chromosome). A chromosome X is *polarized* if every gene in its support has type $\neq \text{NonPolarized}$.

Definition 21 (Variety Pi). The variety Pi is the filtered variety of all polarized chromosomes.

Lemma 22 (Pi is prime-stable). $\text{Pi}' = \text{Pi}$.

Lemma 23 (Polarized signature is natural). *If $X \in \text{Pi}$, the signature components of X are natural numbers.*

Lemma 24 (Sigma sequence determines element of Pi). *If $X, Y \in \text{Pi}$ satisfy $\text{sig}(X^{(k)}) = \text{sig}(Y^{(k)})$ for all $k \geq 0$, then $X = Y$.*

Lemma 25 (Antisymmetry of dominance on Pi). *For $X, Y \in \text{Pi}$, if $X \leq Y$ and $Y \leq X$, then $X = Y$. Hence Pi carries a partial order.*

2.3 The non-polarized variety Lambda

Definition 26 (Non-polarized chromosome). A chromosome is *non-polarized* if every gene in its support has type **NonPolarized**.

Definition 27 (Variety Lambda). The variety Lambda is the filtered variety of all non-polarized chromosomes.

Lemma 28 (Lambda is prime-stable). $\text{Lambda}' = \text{Lambda}$.

2.4 Mixed varieties and labels

Definition 29 (Mixed variety). Given a pair of varieties (V_1, V_2) , the *mixed variety* $\text{Mix}(V_1, V_2)$ consists of all chromosomes whose odd part lies in V_1 and even part lies in V_2 .

Definition 30 (Five labeled varieties). The five labeled varieties V_0, \dots, V_4 indexed by Fin 5 are:

- $V_0 = \text{Pi}$
- $V_1 = \text{Mix}(\text{Pi}, \text{Lambda})$
- $V_2 = \text{Lambda}$
- $V_3 = \text{Mix}(\text{Lambda}, \text{Pi})$
- $V_4 = \text{Mix}(\text{Lambda}, \text{Lambda})$

Definition 31 (Label prime permutation). The prime operation on varieties induces a permutation of the five labels: $V'_i = V_{\pi(i)}$.

Chapter 3

Sigma Sequences

Definition 32 (Sigma sequence). For a chromosome X , the *sigma sequence* is the function $\sigma_X(k) = \text{sig}(X^{(k)}) \in \mathbb{Q} \times \mathbb{Q}$. We write $a_X(k) = \sigma_X(k).1$ and $b_X(k) = \sigma_X(k).2$.

Lemma 33 (Sigma is antitone). *The sigma sequence is antitone (componentwise decreasing).*

Lemma 34 (Sigma is eventually zero). *There exists K such that $\sigma_X(k) = 0$ for all $k \geq K$.*

Lemma 35 (Interlacing conditions). *The components of the sigma sequence satisfy interlacing inequalities. If k is even, then $b_X(k+1) \leq a_X(k)$ and $a_X(k+1) \leq b_X(k)$. If k is odd, the inequalities are reversed.*

Lemma 36 (Difference conditions for Pi). *If $X \in \text{Pi}$, then $a_X(k) - b_X(k+1) \leq 1$ and $b_X(k) - a_X(k+1) \leq 1$.*

Lemma 37 (Dominance implies componentwise inequality). *If $X < Y$ in Pi, then $\sigma_X(k) \leq \sigma_Y(k)$ componentwise for all k with strict inequality for some k .*

Chapter 4

Mutations

4.1 Definition of mutations

Definition 38 (Mutation). A pair (X, Y) of chromosomes forms a *mutation* if:

1. $X \neq Y$,
2. $\text{sig}(X) = \text{sig}(Y)$,
3. $Y \leq X$ (dominance).

4.2 Primitive mutations on Pi

Definition 39 (Primitive mutations of type 1). Given $\varepsilon, k \leq m$: $X_1 = (m, \varepsilon) + (k, -\varepsilon)$ and $Y_1 = (m + 1, -\varepsilon) + (k - 1, \varepsilon)$ (when $k \geq 1$).

Definition 40 (Primitive mutations of type 2). Given $\varepsilon, k \leq m$: $X_2 = (m, \varepsilon) + (k, \varepsilon)$ and $Y_2 = (m + 1, \varepsilon) + (k - 1, \varepsilon)$ (when $k \geq 1$).

Definition 41 (Primitive mutations of type 3). Given $\varepsilon, k \leq m$: $X_3 = (m, \varepsilon) + (k, -\varepsilon)$ and $Y_3 = (m + 2, -\varepsilon) + (k - 2, \varepsilon)$ (when $k \geq 2$).

Definition 42 (Primitive mutation relation). A *primitive mutation* on Pi is one of the three types above (up to adding a common chromosome Z).

Definition 43 (Step relation). A *step* on Pi is a primitive mutation plus an arbitrary summand: $\text{Step}(X + Z, Y + Z)$ whenever $\text{Primitive}(X, Y)$.

4.3 Properties of mutations on Pi

Lemma 44 (Primitive mutations are mutations). *Every primitive mutation satisfies the mutation conditions.*

Lemma 45 (Type 1 signature preservation). *Type 1 mutations preserve the signature at all levels: $\text{sig}(X_1^{(k)}) = \text{sig}(Y_1^{(k)})$ for all k .*

Lemma 46 (Type 1 dominance). *Type 1 mutations satisfy $Y_1 \leq X_1$.*

Lemma 47 (Type 2 signature preservation). *Type 2 mutations preserve the signature at all levels.*

Lemma 48 (Type 2 dominance). *Type 2 mutations satisfy $Y_2 \leq X_2$.*

Lemma 49 (Type 3 signature preservation). *Type 3 mutations preserve the signature at all levels.*

Lemma 50 (Type 3 dominance). *Type 3 mutations satisfy $Y_3 \leq X_3$.*

4.4 Main mutation theorem

Theorem 51 (Existence of mutations). *For any $X, Y \in \text{Pi}$ with $\text{rank}(X) = \text{rank}(Y) = n$ and $Y \leq X$, either $X = Y$ or there exists a step mutation from X towards Y : there exist $X' \in \text{Pi}$ with $\text{Step}(X, X')$ and $Y \leq X' < X$.*

Chapter 5

Lifting Theorem

Lemma 52 (Mutation lifting). *Given a mutation (X, Y) in variety V_i with $X' = Y'$, there exists $Z \in V_{\pi(i)}$ such that (Z, Y) is a mutation in $V_{\pi(i)}$ and $Z' = X$.*